

No:	Strategic Objective	Risk Title	Risk Description	Root Cause / Contributing Factor	Inherent Risk	Current Control	Residual Risk	Action Plans
1	To grow the revenue base of the municipality	Failure to be financially viable	Failure to operate as a going concern and ensure service delivery	Low liquidity rate - lack of cash backed reserves A drop in debtor recovery	25	<ul style="list-style-type: none"> Long-Term Financial Planning (including gearing ratio) Adhere to allocations in the funded Annual Budget Daily cash flow monitoring & forecasting 	9	<ul style="list-style-type: none"> Ensuring that there is no over-riding of operating and capital budget expenditure line items (Approved budget allocations) Ensuring that the Credit Control and Debt Collection Policy is implemented. Monthly outstanding debtor reports to be monitored.
2	To promote a safe and healthy environment through the protection of our natural resources	Insufficient burial facilities			20	Municipal owned land identified (Windheuwel)	20	Consultants appointed to do investigation.
3	To improve and maintain current basic service delivery through specific infrastructural developments projects.	Limited raw water sources.		Aging infrastructure, lack of maintenance, increased development	25	<ul style="list-style-type: none"> Repair desalination plant -Knysna Reduce leakages, optimize current water schemes Implement new bulk water scheme. Adding new Water sources. 	20	Water conservation Investigate new raw water sources
4	To promote a safe and healthy environment through the protection of our natural resources	Pollution of river catchment areas, freshwater and marine ecosystems.		Polluted runoff from solid waste accumulated in river catchments; effluent from the WWTW; erosion post fire; siltation and sedimentation post fire.	20	<ul style="list-style-type: none"> Engaging with experts on mitigation measures Implementation of waste removal projects and river health program 	18	<ul style="list-style-type: none"> River Health Project: Utilise EPWP funding for the employment of river. Contractors have been appointed to obtain authorisations to proceed with implementation of the rehabilitation plan.
5	To encourage the involvement of communities in the matters of Local Government, through the promotion of open channels of communication	Failure to prevent illegal invasion			25	<ul style="list-style-type: none"> Service provider appointed to remove and breakdown the illegal structures. Law Enforcement monitors. Inter-departmental task teams been established 	20	-Continued monitoring, Awareness to communities to inform the Law enforcement.
6	To structure and manage the municipal administration to ensure efficient service delivery	High Litigation		<ul style="list-style-type: none"> Non-compliance with legislation and policies Lack of knowledge/under sting of municipal processes by the community. 	28	Engage relevant stakeholders on the legal aspects of municipal powers and functions	28	workshop with internal stakeholders regarding delegations, roles and responsibilities and the result of both actions and omissions of officials resulting in increased litigation.
7	To encourage the involvement of communities in the matters of Local Government, through the promotion of open channels of communication	Failure to prevent illegal dumping.	Failure to prevent illegal dumping.	Non availability of waste disposal facilities	20	Identify and monitoring the hot spots	9.6	Transform the hot spot areas to positive community spaces.

8	To grow the revenue base of the municipality	Failure to collect billed revenue	Failure to collect at least 95% of billed revenue from the following income streams: •Property rates •Water •Electricity •Refuse removal •Sewage	•Financial effect of Covid-19 on the economy •Community unrest and their refusal to pay property rates and service charges	16	. Monthly reporting on outstanding debtors . Enforcement of the Credit and Debt Collection Policy .Section 52 & 71 reporting	9	. Monthly reporting on the outstanding debt of councillors, officials and customer base . Fill the budgeted vacant positions in the Credit Control Section
9	To encourage the involvement of communities in the matters of Local Government, through the promotion of open channels of communication	Failure to address complaints adequately and timely.			16	Improve service standards (SOP)	12.8	SOP to be reviewed by Department and will be brought to Council in new financial.
10	To Improve and maintain current basic service delivery through specific infrastructural developments projects.	Comprehension and acceptance of the housing policy.	Non acceptance of policy provisions	Non- Compliance with NT,PT and Municipal housing policy	20	Engage Committees and share information based on Cultural Projects	20	Workshop Ward Committees and Councillors to define roles in terms of HOUSING CODE. To workshop current approved municipal housing policy.